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|---|--|--------------|-------------|----|
| UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i> | Attorney Docket No. | 8932-736-999 | Total Pages | 14 |
| | First Named Inventor or Application Identifier | | | |
| | Robert MATHYS, Jr. et al. | | | |
| | Express Mail Label No. | | | |

| | |
|---|---|
| APPLICATION ELEMENTS <i>See MPEP chapters 600 concerning utility patent application contents.</i> | Commissioner for Patents P.O. Box 1450 Mail Stop PATENT APPLICATION Alexandria, VA 22313-1450 |
|---|---|

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims Small Entity status, see 37 C.F.R. § 1.27
3. ☒ Specification [Total Pages 11]
(preferred arrangement set forth below, MPEP 1503.01)
- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description of the Invention (including drawings, if filed)
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 1]
5. ☒ Oath or Declaration (unexecuted) [Total Sheets 2]
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. ☐ Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☒ English Translation Document of PCT/CH01/00069
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or it's equivalent
17. ☒ Other: Courtesy copies of: WO 02/060356 A1; International Search Report; Second Written Decision of Auth. of Int'l. Prelim. Exam. (8/27/02); and Int'l Prelim. Exam Report (3/31/03).

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation of the U.S. National Stage designation of copending International Patent Application PCT/CH01/00069, filed January 30, 2001

Prior application information:

Examiner:

Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

PENNIE & EDMONDS LLP
COUNSELORS AT LAW
1667 K Street, N.W.
Washington, D.C. 20006

ATTORNEY DOCKET NO. 8932-736-999

Date: July 30, 2003

Commissioner for Patents
P.O. Box 1450
Mail Stop PATENT APPLICATION
Alexandria, VA 22313-1450

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Robert MATHYS, Jr.; Executed on: (Unexecuted)
Beat LECHMANN; and
Beat GASSER

Title of Invention: BONE IMPLANT, IN PARTICULAR, AN INTER-VERTEBRAL IMPLANT

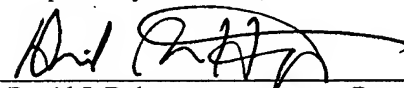
PATENT APPLICATION FEE VALUE

| TYPE | NO. FILED | LESS | EXTRA | EXTRA RATE | FEE |
|---|-----------|------|-------|--------------|--------|
| Total Claims | 33 | - 20 | 13 | \$18.00 each | \$ 234 |
| Independent | 3 | - 3 | 0 | \$84.00 each | \$ 0 |
| Minimum Fee | | | | | \$ 750 |
| Multiple Dependency Fee | | | | | \$ 0 |
| If Applicable (\$280.00) | | | | | \$ 0 |
| Total | | | | | \$ 984 |
| Applicant qualifies for the 50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern | | | | | \$ 0 |
| Total Filing Fee | | | | | \$ 984 |

[X] This is a Continuation of the U.S. National Stage designation of copending International Patent Application PCT/CH01/00069, filed January 30, 2001, the entire content of which is expressly incorporated herein by reference thereto.

Please charge the required fee to Pennie & Edmonds LLP Deposit Account 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,



For: David J. Baltazar Reg. No. 53,964
Brian M. Rothery Reg. No. 35,340
PENNIE & EDMONDS LLP

Enclosure